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09/996,074	11/28/2001	Jesse S. Lerman	DIVA/305	1112

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EXAMINER

WILSON, YOLANDA L


ART UNIT

PAPER NUMBER

2113

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Please find below and/or attached an Office communication concerning this application or proceeding.

<b>Office Action Summary</b>	Application No. 09/996,074	Applicant(s) LERMAN ET AL. 	
	Examiner Yolanda Wilson	Art Unit 2113	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

#### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
  - If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
  - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
  - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

#### Status

- 1) ☒ Responsive to communication(s) filed on <sup>28</sup>~~25~~ November 2001.
- 2a) ☐ This action is **FINAL**.                      2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

#### Disposition of Claims

- 4) ☒ Claim(s) 1-27 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-27 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

#### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 03 January 2002 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

#### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☐ Some \*    c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

#### Attachment(s)

- |  |   |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)  | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)                                   | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152)             |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)<br>Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____  |

**DETAILED ACTION**

***Claim Rejections - 35 USC § 102***

1. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

2. Claims 1-4, 10, 11 are rejected under 35 U.S.C. 102(b) as being anticipated by Hanco et al. (USPN 5649093). As per claim 1, Hanco et al. discloses accessing content data on an extent-by-extent basis from a plurality of disk drives configured in an array; streaming the content data to the plurality of subscribers on an extent-by-extent basis, sequentially, from the plurality of disk drives; detecting an actual disk drive failure; transitioning to a stream regeneration mode of operation comprising: reading the content data substantially simultaneously from all extents in a parity group; regenerating a failed portion of the content data from a failed extent in the parity group corresponding to the failed disk drive; and streaming the content data in the parity group to the plurality of subscribers, extent-by-extent, immediately following the regenerating of the content data from the failed extent in the parity group in column 3, lines 28-46.
3. As per claim 2, Hanco et al. discloses disallowing content loads upon detecting the actual disk drive failure in column 3, lines 40-46.
4. As per claim 3, Hanco et al. discloses migrating at least one subscriber to a non-failed disk drive array in column 3, lines 40-46.

5. As per claim 4, Hanko et al. discloses migrating content to a non-failed disk drive array in column 3, lines 40-46.

6. As per claim 10, Hanko et al. discloses the regenerating step further comprises initiating a data regeneration mode of operation comprising writing, as a low priority task, recovered content data to spare extents on non-failed disk drives in the array in column 3, lines 40-46.

7. As per claim 11, Hanko et al. discloses once the regenerated content data has been written to the spare extents, initiating a recovery-carousel-serving mode of operation comprising streaming psuedo-sequentially, extent-by-extent, content data of each parity group to the plurality of subscribers, here the regenerated content data in a spare extent of each parity group is streamed out of sequence in column 6, lines 35-56.

***Claim Rejections - 35 USC § 103***

8. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

9. Claims 5-9, 12-16 are rejected under 35 U.S.C. 103(a) as being unpatentable over Hanko et al. in view of Peters et al. (USPN 6415373). As appears in claim 5, Hanko et al. fails to explicitly state sensing installment of a replacement disk drive; and rebuilding the content data thereon.

Peters et al. discloses this limitation in column 15, lines 37-40, "After a storage unit fails, a new storage unit may be installed in its place, with lost data restored, or the lost data may be recreated and distributed over the remaining storage units."

Accordingly, it would have been obvious to one of ordinary skill in the art at the time the invention was made to sense installment of a replacement disk drive and rebuilding the content data thereon. A person of ordinary skill in the art would have been motivated to sense installment of a replacement disk drive and rebuilding the content data thereon because a new disk drive allows the computer system to have faster access to the data on the drive with the data being restored on the new drive.

10. As per claim 6, Hanko et al. fails to explicitly state allowing content loads on the replacement disk drive.

Peters et al. discloses this limitation in column 15, lines 37-40, "After a storage unit fails, a new storage unit may be installed in its place, with lost data restored, or the lost data may be recreated and distributed over the remaining storage units."

Accordingly, it would have been obvious to one of ordinary skill in the art at the time the invention was made to allow content loads on the replacement disk drive. A person of ordinary skill in the art would have been motivated to allow content loads on the replacement disk drive because the replacement drive is non-faulty; therefore, it can be used to store data.

11. As per claim 7, Hanko discloses accessing the content data on an extent-by-  
extent basis from the plurality of disk drives configured in the RAID 5 format; and

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streaming the content data to the plurality of subscribers on an extent-by-extent basis, sequentially, from the plurality of disk drives in column 3, lines 28-46.

12. As per claim 8, Hanko et al. discloses load-balancing the content data between additional disk drive arrays in column 6, lines 35-56.

13. As per claim 9, Hanko et al. discloses load-balancing the streams to the plurality of subscribers between additional disk drive arrays in column 6, lines 35-56.

14. As per claim 12, Hanko et al. fails to explicitly state sensing installment of a replacement disk drive; and writing the regenerated content data from the spare extents on the non-failed disk drives of the array to the replacement disk drive.

Peters et al. discloses this limitation in column 15, lines 37-40, "After a storage unit fails, a new storage unit may be installed in its place, with lost data restored, or the lost data may be recreated and distributed over the remaining storage units."

Accordingly, it would have been obvious to one of ordinary skill in the art at the time the invention was made to sense installment of a replacement disk drive and writing the regenerated content data from the spare extents on the non-failed disk drives of the array to the replacement disk drive. A person of ordinary skill in the art would have been motivated to sense installment of a replacement disk drive and writing the regenerated content data from the spare extents on the non-failed disk drives of the array to the replacement disk drive because a new disk drive allows the computer system to have faster access to the data on the drive with the data being restored on the new drive.

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15. As per claim 13, Hanko et al. discloses accessing content data on an extent-by-  
extent basis, sequentially, from the plurality of disk drives configured in the RAID 5  
format; and streaming content data to the plurality of subscribers on an extent-by-extent  
basis, sequentially, from the plurality of disk drives in column 3, lines 28-46.

16. As per claim 14, Hanko et al. fails to explicitly state allowing content loads on the  
replacement disk drive.

Peters et al. discloses this limitation in column 15, lines 37-40, "After a storage  
unit fails, a new storage unit may be installed in its place, with lost data restored, or the  
lost data may be recreated and distributed over the remaining storage units."

Accordingly, it would have been obvious to one of ordinary skill in the art at the  
time the invention was made to allow content loads on the replacement disk drive. A  
person of ordinary skill in the art would have been motivated to allow content loads on  
the replacement disk drive because the replacement drive is non-faulty; therefore, it can  
be used to store data.

17. As per claim 15, Hanko et al. discloses load-balancing the content data between  
additional disk drive arrays in column 6, lines 35-56.

18. As per claim 16, Hanko et al. discloses load-balancing the streams to the plurality  
of subscribers between additional disk drive arrays in column 6, lines 35-56.

19. Claims 17-22 are rejected under 35 U.S.C. 103(a) as being unpatentable over  
Hanko in view of Brady et al. (USPN 5727144). As per claim 17, Hanko et al. discloses  
accessing content data on an extent-by-extent basis from a plurality of disk drives  
configured in an array; streaming the content data to the plurality of subscribers on an

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extent-by-extent basis, sequentially, from the plurality of disk drives; transitioning, in response to the detecting step, a recovery-carousel-serving mode of operation comprising: streaming pseudo-sequentially, extent-by-extent, content data of each parity group to the plurality of subscribers, where the regenerated content data in a spare extent of each parity group is streamed out of sequence column 3, lines 28-46.

Brady et al. discloses predicting a disk drive failure; writing content data from a the disk drive predicted to fail to spare extents on non-failed disk drives in the array; detecting at least one of an actual failure and removal of the disk drive predicted to fail in column 4, lines 15-38. The removal of the disk drive predicted to fail is accessing the disk drive only for copyaway and removal from normal data access.

Accordingly, it would have been obvious to one of ordinary skill in the art at the time the invention was made to predict a disk drive failure; writing content data from a the disk drive predicted to fail to spare extents on non-failed disk drives in the array; detecting at least one of an actual failure and removal of the disk drive predicted to fail. A person of ordinary skill in the art would have been motivated to predict a disk drive failure; writing content data from a the disk drive predicted to fail to spare extents on non-failed disk drives in the array; detecting at least one of an actual failure and removal of the disk drive predicted to fail because the writing of data from the predicted disk drive allows the data to be accessed by the computer system before the disk drive fails.

20. As per claim 18, Hanco et al. fails to explicitly state monitoring disk drive performance data selected from the group consisting of a sufficiently high frequency of failed read attempts, a control signal produced by a disk failing, a thermal profile, a disk



drive manufacturer detection software signal, and disk access times exceeding a predetermined threshold value.

Brady et al. discloses the limitation a control signal produced by a disk failing in column 4, lines 15-38.

Accordingly, it would have been obvious to one of ordinary skill in the art at the time the invention was made to have a control signal produced by a disk failing. A person of ordinary skill in the art would have been motivated to have a control signal produced by a disk failing because the control signal allows the computer system to know when a disk drive is starting to fail..

21. As per claim 19, Hanco et al. discloses reading the content data substantially simultaneously from all extents in a parity group; regenerating a failed portion of the content data from a failed extent in the parity group corresponding to the failed disk drive; and streaming the content data in the parity group to the plurality of subscribers, extent-by-extent, immediately following the regenerating of the content data from the failed extent in the parity group in column 3, lines 28-46.

22. As per claim 20, Hanco et al. discloses migrating at least one subscriber to a non-failed disk drive array in column 3, lines 40-46.

23. As per claim 21, Hanco et al. discloses migrating content to a non-failed disk drive array in column 3, lines 40-46.

24. As per claim 22, Hanco et al. fails to explicitly state disallowing content loads upon detecting the actual failure of the disk drive predicted to fail.

25. Claims 23-27 are rejected under 35 U.S.C. 103(a) as being unpatentable over Hanco in view of Brady et al. (USPN 5727144) in further view of Peters et al. As per claim 23, Hanco et al. and Brady et al. fail to explicitly state sensing installment of a replacement disk drive; and writing the regenerated content data from the spare extents on the non-failed disk drives of the array to the replacement disk drive.

Peters et al. discloses this limitation in column 15, lines 37-40, "After a storage unit fails, a new storage unit may be installed in its place, with lost data restored, or the lost data may be recreated and distributed over the remaining storage units."

Accordingly, it would have been obvious to one of ordinary skill in the art at the time the invention was made to sense installment of a replacement disk drive and writing the regenerated content data from the spare extents on the non-failed disk drives of the array to the replacement disk drive. A person of ordinary skill in the art would have been motivated to sense installment of a replacement disk drive and writing the regenerated content data from the spare extents on the non-failed disk drives of the array to the replacement disk drive because a new disk drive allows the computer system to have faster access to the data on the drive with the data being restored on the new drive.

26. As per claim 24, Hanco et al. discloses accessing content data on an extent-by-extent basis, sequentially, from the plurality of disk drives configured in the RAID 5 format; and streaming content data to the plurality of subscribers on an extent-by-extent basis, sequentially, from the plurality of disk drives in column 3, lines 28-46.

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27. As per claim 25, Hanco et al. and Brady et al. fail to explicitly state allowing content loads on the replacement disk drive.

Peters et al. discloses this limitation in column 15, lines 37-40, "After a storage unit fails, a new storage unit may be installed in its place, with lost data restored, or the lost data may be recreated and distributed over the remaining storage units."

Accordingly, it would have been obvious to one of ordinary skill in the art at the time the invention was made to allow content loads on the replacement disk drive. A person of ordinary skill in the art would have been motivated to allow content loads on the replacement disk drive because the replacement drive is non-faulty; therefore, it can be used to store data.

28. As per claim 26, Hanco et al. discloses load-balancing the content data between additional disk drive arrays in column 6, lines 35-56.


29. As per claim 27, Hanco et al. discloses load-balancing the streams to the plurality of subscribers between additional disk drive arrays in column 6, lines 35-56.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Yolanda Wilson whose telephone number is (703) 305-3298. The examiner can normally be reached on M-F (7:30-4:00).

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Robert Beausoliel can be reached on (703) 305-9713. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

  
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